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Chapter I

Topology

1 General

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Lemma 1.1. *Convergence and limits of sequences are preserved while going across subspaces.*

Definition 1.2 (Bolzano-Weierstraß property). A topological space X is said to have the Bolzano-Weierstraß property iff every sequence has a convergent subsequence.

Definition 1.3 (Domains and regions). A *domain* is a nonempty, connected, open subset. A *region* is a subset that is contained in the closure of its interior.¹

Lemma 1.4 (Closure and limit points). *Let E be a subspace of X with $(x_i) \in E$ and $x \in X$. Then the following hold:*

- (i) $x_i \rightarrow x \implies x \in \bar{E}$. The converse² holds if X is metrizable.
- (ii) $x_i \rightarrow x$ with x_i 's being distinct $\implies x \in \ell(E)$. The converse³ holds if X is metrizable.

¹That is, it is a domain plus some of its limit points.

²CC used.

³DCC used.

2 Limits and continuity

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Definition 2.1 (Limits of functions). Let X, Y be topological spaces and $f: S \rightarrow Y$ where $S \subseteq X$. Let $c \in X$ and $L \in Y$. Then we write

$$f(x) \rightarrow L \text{ as } x \rightarrow c$$

iff for every open neighborhood V of L , there exists an open neighborhood U of c such that

$$f(U \cap S \setminus \{c\}) \subseteq V.$$

Definition 2.2 (Continuity). A function $f: X \rightarrow Y$ between topological spaces is said to be continuous at $c \in X$ iff for every open neighborhood V of $f(c)$, the set $f^{-1}(V)$ contains an open neighborhood of c .

Proposition 2.3. A function $f: X \rightarrow Y$ is continuous \iff for any $A \subseteq X$, we have

$$f(\overline{A}) \subseteq \overline{f(A)}.$$

Lemma 2.4 (Relation between limits and continuity). A function $f: X \rightarrow Y$ between topological spaces is continuous at $c \in X$ \iff $f(x) \rightarrow f(c)$ as $x \rightarrow c$.

Lemma 2.5 (Restrictions and limits). Let X, Y be topological spaces and $f: S \rightarrow T$ where $S \subseteq X$ and $T \subseteq Y$. Let $A \subseteq S$ and $f(A) \subseteq B \subseteq Y$. Define $g: A \rightarrow B$ by $x \mapsto f(x)$. Then for $c \in X$ and $L \in T \cap B$, the following hold:

- (i) $f(x) \rightarrow L$ as $x \rightarrow c \implies g(x) \rightarrow L$ as $x \rightarrow c$ (A being seen as the subspace of X).
- (ii) The converse holds if we have that $U_0 \cap S \subseteq A$ for some open neighborhood U_0 of c (in X).

Lemma 2.6 (Restrictions and continuity). Let $f: X \rightarrow Y$ between topological spaces where Y is a subspace of a space Y' . Let $S \subseteq X$ and $f(S) \subseteq T \subseteq Y'$. Define $g: S \rightarrow T$ by $x \mapsto f(x)$. Then for $c \in S$, the following hold:

- (i) f is continuous at $c \implies g$ is continuous at c .
- (ii) The converse holds if $U_0 \subseteq S$ for some open neighborhood U_0 of c (in X).

Proposition 2.7 (Limits of compositions). *Let $f: E \rightarrow F$ and $g: F \rightarrow Z$ where E, F are subspaces of X, Y respectively. Let $f(x) \rightarrow L$ as $x \rightarrow c$ and $g(y) \rightarrow M$ as $y \rightarrow L$. Also assume that $M = g(L)$ if $L \in F$. Then*

$$(g \circ f)(x) \rightarrow M \text{ as } x \rightarrow c.$$

Lemma 2.8 (Regularity). *For a space X , the following are equivalent:*

- (i) *Any point and a closed set not containing it can be separated by disjoint open sets.*
- (ii) *Any open neighborhood of a point contains the closure of an open set containing the point.*

Theorem 2.9 (Extending a function continuously). *Let $f: E \rightarrow Y$ where E is a subspace of a space X , and Y a regular space. Let $S \subseteq \bar{E} \setminus E$ and $g: S \rightarrow Y$ be such that $f(x) \rightarrow g(s)$ as $x \rightarrow s$ for each $s \in S$. Then the extended function $E \cup S \rightarrow Y$ is continuous.*

Lemma 2.10 (Denseness). *A subset is dense \iff it intersects with each nonempty open set.*

Proposition 2.11. *A continuous function into a Hausdorff space is determined by its values on a dense subset of the domain.*

3 Compactness

January 20, 2023

Definition 3.1 (Covers). *A set $\{U_\alpha\}$ of (open) subsets of a topological space is said to be an (open) cover of a subset $E \subseteq X$ iff $\bigcup_\alpha U_\alpha \supseteq E$.*

Definition 3.2 (Compact sets). *A subset E of a topological space X is said to be compact in X iff every open cover of E has a finite subcover.*

Lemma 3.3. *Compactness is preserved while going across subspaces.*

Remark. *This allows to drop “in X ” from “ E is compact in X ”.*

Proposition 3.4. *Closed subsets of compact spaces are compact.*

Proposition 3.5. *Continuous image of a compact set is compact.*

4 Connectedness and path-connectedness

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Definition 4.1 (Connectedness). A space is said to be connected iff it can't be partitioned into two nonempty open sets.

Definition 4.2 (Path connectedness). A *path* from a point x to a point y in a space X is a continuous function $f: [0, 1] \rightarrow X$ such that $f(0) = x$ and $f(1) = y$.

A space is called *path connected* iff any two points can be connected by a path.

Corollary 4.3. *Path connectivity of points induces a partition of the space.*

Definition 4.4 (Linear continua). A linear continuum is a totally ordered set with least-upper-bound property⁴ such that between any two points lies another point.

Theorem 4.5 (Linear continua are connected). *Convex subsets of linear continua are connected.*

Proposition 4.6. *Path connectedness \implies connectedness.*⁵

Proposition 4.7. *Continuous image of a (path-)connected set is (path-)connected.*

Proposition 4.8. *Closure of connected is connected.*⁶

Corollary 4.9 (Intermediate value). *Let $f: X \rightarrow Y$ be continuous where X is connected and Y is under order topology. Let r lie between $f(x)$ and $f(z)$ for $x, z \in X$. Then there exists a $y \in X$ such that $r = f(y)$.*

Example 4.10 (Topologist's sine curve). Define

$$S := \{(x, \sin(1/x)) : x > 0\} \subseteq \mathbb{R}^2.$$

Then \bar{S} is connected, but not path-connected!

⁴We mean that *bounded* nonempty sets have least upper bounds.

⁵Converse is *not* true. See Example 4.10.

⁶Not true for path-connected! See Example 4.10.

5 Pointwise convergence

January 27, 2023

Definition 5.1 (Pointwise convergence). Let X be a set and Y be a topological space. Then a sequence of function (f_n) on $X \rightarrow Y$ is said to converge pointwise to a function $f: X \rightarrow Y$ iff for each $x \in X$, we have that

$$f_n(x) \rightarrow f(x) \text{ as } n \rightarrow \infty.$$

Corollary 5.2. *In a Hausdorff space, the pointwise limit, if existent, is unique.*

Chapter II

Metric spaces

January 12, 2023

1 General

January 23, 2023

Lemma 1.1. *The subspace topology on a subset of a metric space is the same as the topology induced by the restricted metric.*

Lemma 1.2. *Cauchy-ness of sequences is preserved while going across metric subspaces.*

Proposition 1.3. *Metric is continuous as both $X \times X \rightarrow \mathbb{R}$ as well as $X \rightarrow \mathbb{R}$.*

Lemma 1.4. *Closed subsets of a complete space are precisely its complete subsets.*

2 Compactness

Definition 2.1 (Totally bounded). A subset E of a metric space X is said to be totally bounded in S iff for each $\varepsilon > 0$, finitely many balls of radius ε cover E .

Lemma 2.2. *(Total) boundedness is preserved while going across metric subspaces.*

Lemma 2.3. *Finite unions of bounded sets are bounded.*

Theorem 2.4 (Compactness in metric spaces). *Let X be a metric space and $E \subseteq X$. Then the following are equivalent:¹*

- (i) E is complete and totally bounded.
- (ii) E has the Bolzano-Weierstraß property.
- (iii) E is compact.

Corollary 2.5 (Extreme value). *A continuous function from a compact space X to \mathbb{R} achieves its maximum and minimum over X .*

3 Limits of functions

Proposition 3.1 (Uniqueness of limits of functions). *Let X be a topological space and Y be a metric space. Let $f: S \rightarrow Y$ where $S \subseteq X$. Then for a point $c \in X$, the following hold:*

- (i) $c \in \ell(S) \implies f(x) \rightarrow L$ as $x \rightarrow c$ for at most one $L \in Y$.
- (ii) $c \notin \ell(S) \implies f(x) \rightarrow L$ as $x \rightarrow c$ for all $L \in Y$.²

Notation. Thus, for a metric space codomain, and for $c \in \ell(S)$, we denote the unique limit, if existent, by

$$\lim_{x \rightarrow c} f(x).$$

4 Uniform convergence

January 27, 2023

Definition 4.1 (Uniform convergence). Let X be a set and Y be a metric space. Then a sequence of functions (f_n) on $X \rightarrow Y$ is said to converge uniformly to a function $f: X \rightarrow Y$ iff for every $\varepsilon > 0$, there exists an N such that for all $n \geq N$ and for each $x \in X$, we have

$$d(f_n(x), f(x)) < \varepsilon.$$

¹AC used.

²This also holds when Y is a general topological space.

Corollary 4.2. *Uniform limit is also a pointwise limit and hence unique.*

Lemma 4.3 (Cauchy criterion for uniform convergence). *Let X be a set and Y be a metric space. Let $f: X \rightarrow Y$ be the pointwise limit of a sequence of functions (f_n) on $X \rightarrow Y$. Then the following are equivalent:*

- (i) $f_n \rightarrow f$ uniformly.
- (ii) For each $\varepsilon > 0$, there exists an N such that for all $m, n \geq N$, we have $d(f_m(x), f_n(x)) < \varepsilon$ for all $x \in X$.

Theorem 4.4 (Uniform limit preserves continuity). *Let E be a subspace of a topological space X and Y be a metric space. Let (f_n) be a sequence of functions $E \rightarrow Y$ converging uniformly to $f: E \rightarrow Y$. Let $c \in X$ and for each n , let $f_n(x) \rightarrow L_n$ as $x \rightarrow c$. Let $\lim_{n \rightarrow \infty} L_n = L$. Then $f(x) \rightarrow L$ as $x \rightarrow c$.*

5 Miscellaneous

January 27, 2023

Theorem 5.1 (Contraction mapping). *Let X be a nonempty complete metric space and $f: X \rightarrow X$ be such that there exists a $c \in [0, 1)$ such that*

$$d(f(x), f(y)) \leq cd(x, y).$$

Then there exists a unique fixed point of f .

Chapter III

Normed linear spaces

Convention. V will denote a general normed linear space over \mathbb{K} , and W a general vector space over \mathbb{K} .

Generic bases of V and W will respectively be denoted by \mathcal{B} and \mathcal{C} .

Ω will denote an open set in V .

1 Elementary facts

January 12, 2023

Proposition 1.1. *On V , the following functions are continuous:*

- (i) *Addition: As both, $V \times V \rightarrow V$, and as $V \rightarrow V$ with a fixed addend vector.*
- (ii) *Scalar multiplication: As $\mathbb{K} \times V \rightarrow V$, as $V \rightarrow V$ with a fixed scalar and as $\mathbb{K} \rightarrow V$ with a fixed vector.*
- (iii) *Norm.*

Definition 1.2 (Banach spaces). A Banach space is a complete normed linear space.

Proposition 1.3. *Finite-dimensional normed linear spaces are Banach.*

2 Norms on product spaces

March 12, 2023

Proposition 2.1 (l_p -norms on $V_1 \times \cdots \times V_n$). For any $p \in [1, \infty)$, the following defines a norm on $V_1 \times \cdots \times V_n$:

$$\|v\|_p := \left(\sum_{i=1}^n \|v_i\|^p \right)^{1/p}$$

We also have the following norm:

$$\|v\|_\infty := \max_{1 \leq i \leq n} \|v_i\|$$

Further, all these norms are equivalent via

$$\|v\|_\infty \leq \|v\|_p \leq n^{1/p} \|v\|_\infty$$

and generate the product topology.

Lemma 2.2.

- (i) $\|w\| := \max_{\tilde{e} \in \mathcal{C}} |w_{\tilde{e}}|$ defines a norm on W .
- (ii) If $f: V \rightarrow W$ is a vector space isomorphism, then $w \mapsto \|f^{-1}(w)\|$ defines a norm on W with respect to which, f becomes an isometry.

Theorem 2.3. Any two norms on a finite-dimensional vector space are equivalent.

Corollary 2.4. Any linear map from a finite-dimensional normed linear space to an arbitrary normed linear space is continuous.

Corollary 2.5. If $\dim V < \infty$ and $V \cong W$ as vector spaces, then for any norm on W , we also have that $V \cong W$ as normed linear spaces.

Corollary 2.6. Let $V = U_1 \oplus \cdots \oplus U_n$, where U_i 's are subspaces of V . Consider $U_1 \times \cdots \times U_n$ under any of the (equivalent) l_p -norms. Then

$$\dim V < \infty \implies U_1 \times \cdots \times U_n \cong V \text{ as normed linear spaces.}$$

Remark. This fails for infinite-dimensional V 's even though $n < \infty$.¹ (The statement for $n = \infty$ is obviously false for this would mean that any two norms on a space are equivalent.)

However, for finite-dimensional spaces, this means that the “topology can be recovered just from the basis”.

¹See <https://math.stackexchange.com/a/4620769/673223>.

Corollary 2.7 (Convergence in finite dimensions). *In a normed linear space with a basis (v_1, \dots, v_n) ,*

$$\sum_{k=1}^n a_k^{(i)} v_k \xrightarrow{i} \sum_{k=1}^n a_k v_k \iff a_k^{(i)} \xrightarrow{i} a_k \text{ for all } k \text{'s.}$$

Corollary 2.8 (Limits of functions in finite dimensions). *Let $\dim V < \infty$ and $f: E \rightarrow V$ where E is a subset of a topological space X . Let f decompose into $f_e: E \rightarrow \mathbb{K}$ for $e \in \mathcal{B}$. Let $c \in X$ and $v \in V$. Then*

$$f(x) \rightarrow v \text{ as } x \rightarrow c \iff f_e(x) \rightarrow v_e \text{ as } x \rightarrow c \text{ for each } e \in \mathcal{B}.$$

3 The space $B(X, V)$

March 11, 2023

Proposition 3.1. W^X is a vector space for any set X .

Definition 3.2 (Bounded functions). A function $f: X \rightarrow V$, where X is any set, is called bounded iff $\|f(x)\|$'s are bounded for $x \in X$.

We also define

$$B(X, V) := \{\text{bounded functions } X \rightarrow V\}.$$

Remark. Bounded-ness of multi-linear maps is something completely different! See Definition 4.2.

Proposition 3.3 (A norm on $B(X, V)$). *For any set X , we have that $B(X, V)$ is a linear subspace of V^X , and*

$$\|f\|_\infty := \sup_{x \in X} \|f(x)\|$$

defines a norm on $B(X, V)$.

Further, the convergence in $\|\cdot\|_\infty$ is the same as uniform convergence (for bounded functions).

Theorem 3.4. *If V is Banach, then so is $B(X, V)$.*

4 The space $\mathbf{BL}(V_1, \dots, V_n; W)$

March 11, 2023

Convention. In this section, V_i 's will denote normed linear spaces over \mathbb{K} .

Notation. We'll use the $\mathcal{L}(\{V_i\}; W)$ notation for the set of multi-linear maps. The semi-colon “;” will differentiate the usual “linear” case or the “multi-linear” case for $V_1 \rightarrow W$. However, in that case, we conveniently have $\mathcal{L}(V_1, W) = \mathcal{L}(V_1; W)$.

Corollary 4.1. $\mathcal{L}(V_1, \dots, V_n; W)$ is a linear subspace of $W^{V_1 \times \dots \times V_n}$.

Definition 4.2 (Bounded multi-linear maps). Let W also have a norm. Then a multi-linear map $f \in \mathcal{L}(V_1, \dots, V_n; W)$, for $n \geq 0$,² is called bounded iff it is bounded as a function on the product of discs $\|x_i\| \leq 1$, or equivalently, iff there exists an $M \geq 0$ such that

$$\|f(x_1, \dots, x_n)\| \leq M \|x_1\| \cdots \|x_n\| \quad (x_i \in V_i).$$

We also define

$$\mathbf{BL}(V_1, \dots, V_n; W) := \{\text{bounded multi-linear maps } V_1 \times \dots \times V_n \rightarrow W\}.$$

Proposition 4.3 (A norm on $\mathbf{BL}(V_1, \dots, V_n; W)$). Let W also come equipped with a norm. Then for any $n \geq 0$, we have that $\mathbf{BL}(V_1, \dots, V_n; W)$ is a subspace of $\mathcal{L}(V_1, \dots, V_n; W)$ and that

$$\begin{aligned} \|f\| &:= \|f|_{D_1 \times \dots \times D_n}\|_\infty \\ &= \sup_{\|x_i\| \leq 1} \|f(x_1, \dots, x_n)\| \\ &= \sup_{\|x\|_\infty \leq 1} \|f(x)\| \end{aligned}$$

defines a norm on $\mathbf{BL}(V_1, \dots, V_n; W)$, where D_i is the unit disc of the i -th space.

For $n \neq 0$, we also have

$$\|f\| = \sup_{x_i \neq 0} \frac{\|f(x_1, \dots, x_n)\|}{\|x_1\| \cdots \|x_n\|}.$$

²Note that for $n = 0$, the set $\mathcal{L}(V_1, \dots, V_n; W)$ is a singleton containing the empty map.

Corollary 4.4. *Let W, U be normed linear spaces. Let $f \in \mathcal{BL}(V_1, \dots, V_n; W)$ and $g \in \mathcal{BL}(W; U)$. Then $g \circ f \in \mathcal{BL}(V_1, \dots, V_n; U)$ with*

$$\|g \circ f\| \leq \|g\| \|f\|.$$

Corollary 4.5. *Let W also have a norm and let $\phi \in \mathcal{BL}(V; W)$ be invertible with $\phi^{-1} \in \mathcal{BL}(V; W)$ as well.³ Then*

$$\|\phi\|, \|\phi^{-1}\| \leq 1 \implies \phi \text{ is an isometry} \implies \|\phi\| = 1 = \|\phi^{-1}\|.$$

Remark. *Up till now, we didn't need any norm on $V_1 \times \dots \times V_n$. Just the norms on V_i 's were sufficient to define a norm on $\mathcal{BL}(V_1, \dots, V_n; W)$.*

Corollary 4.6. *Let X be a bounded subset⁴ of $V_1 \times \dots \times V_n$ and W be normed. Then the restrictions of bounded multi-linear maps $V_1 \times \dots \times V_n \rightarrow W$ to $X \rightarrow W$ form a subspace of $\mathcal{B}(X, W)$.*

Proposition 4.7 (Characterizing continuity). *Let W have a norm and $f \in \mathcal{L}(V_1, \dots, V_n; W)$. Then the following are equivalent:*

- (i) *f is continuous.*
- (ii) *f is continuous at 0.*
- (iii) *f is bounded.*

Theorem 4.8 (Canonical isomorphisms). *Let W', U_i, U'_i 's be vector spaces for $1 \leq i \leq n$ ($0 \leq m \leq n$) over \mathbb{K} such that $U_i \cong U'_i$ for each i and $W \cong W'$ as vector spaces via α_i 's and β . Let σ be a permutation on $\{1, \dots, n\}$. Then we have the following vector space isomorphisms.⁵*

$$\begin{aligned} \mathcal{L}(U_1, \dots, U_n; W) &\cong \mathcal{L}(U'_1, \dots, U'_n; W') \\ \mathcal{L}(U_1, \dots, U_n; W) &\cong \mathcal{L}(U_{\sigma(1)}, \dots, U_{\sigma(n)}; W) \\ \mathcal{L}(U_1, \dots, U_m; \mathcal{L}(U_{m+1}, \dots, U_n; W)) &\cong \mathcal{L}(U_1, \dots, U_n; W) \end{aligned}$$

The isomorphisms can be described as follows: Let f (in left-hand-side) and g (in right-hand-side) be a corresponded pair of maps. Then we demand that

³This will also hold if we replace “ \mathcal{BL} ” by “ \mathcal{B} ”, and “ $\|\cdot\|$ ” by “ $\|\cdot\|_\infty$ ”.

⁴“Boundedness” can be talked of only when we have a metric on $V_1 \times \dots \times V_n$.

⁵The first is the “axiom of substitution”.

- (i) $\beta(f(u_1, \dots, u_n)) = g(\alpha_1(u_1), \dots, \alpha_n(u_n))$ for the first;
- (ii) $f(u_1, \dots, u_n) = g(u_{\sigma(1)}, \dots, u_{\sigma(n)})$ for the second; and,
- (iii) $f(u_1, \dots, u_m)(u_{m+1}, \dots, u_n) = g(u_1, \dots, u_n)$ for the third.

In the above, if U_i, U'_i 's, W' are normed with α_i 's, β being normed linear space isomorphisms,⁶ then the above vector space isomorphisms can also be restricted by replacing “ \mathcal{L} ” by “ \mathcal{BL} ”, yielding normed linear space isomorphisms. In fact, the obtained isomorphisms are isometries⁷ in the second and third cases. In the first case, it becomes an isometry if α_i 's and β are isometries.

Theorem 4.9. *If W is Banach, then so is $\mathcal{BL}(V_1, \dots, V_n; W)$.*

5 Polygonal connectedness

January 20, 2023

Definition 5.1 (Polygonal lines in vector spaces⁸ over \mathbb{K}). For $w_1, w_2 \in W$, we define the *line segment*⁹

$$[w_1; w_2] := \{tw_1 + (1-t)w_2 : t \in [0, 1]\}.$$

We define $(u; v)$, etc. in the obvious way.

For $w_1, \dots, w_n \in W$, where $n \geq 0$, we call $\bigcup_{i=1}^{n-1} [w_i; w_{i+1}]$ a *polygonal line*.

A subset $E \subseteq W$ is called *polygonally connected* iff any two points in W can be polygonally connected within E .

Corollary 5.2. *Polygonal connectedness \implies path connectedness in a normed linear space.*

Corollary 5.3. *Polygonal connectivity of points induces a partition of a vector space.*

Lemma 5.4 (Balls of normed linear space are convex). *If x, y are contained in a ball, then the segment $[x; y]$ also lies in the ball.*

⁶A linear isomorphism that is also a homeomorphism.

⁷We adopt the usual “metric space definition” of an isometry. (Note that it may not be bijective.) Then it follows that for normed linear spaces, isometries also preserve norms.

⁸This definition does not require V to be normed.

⁹Reference: <https://planetmath.org/linesegment>.

Proposition 5.5. *A domain of a normed linear space is polygonally connected.*

Proposition 5.6. *Let V be an inner-product space and \mathcal{B} be orthonormal. Then any two points of a domain can be connected by a polygonal line, lying completely inside the domain, with each segment of the line being along one of the directions in \mathcal{B} .*

Result 5.7 (Balls have segments in normed spaces). Let $x_0 \in \Omega$ and $v \in V \setminus \{0\}$. Then there exists an $\varepsilon > 0$ such that for each $t \in B_\varepsilon^{\mathbb{K}}(0)$, we have

$$x_0 + tv \in \Omega.$$

Consequently, singletons are not open in a nonzero normed linear space, and hence $\Omega \subseteq \ell(\Omega)$.

Result 5.8 (Closure of balls in normed linear spaces). In a normed linear space, we have

$$\overline{B_r(x)} = D_r(x).$$

6 Convergence in norm

January 27, 2023

Definition 6.1 (Convergence in norm). The series $\sum_{i=0}^{\infty} v_i$ in V is said to converge in norm¹⁰ iff $\sum_{i=0}^{\infty} \|v_i\|$ converges in \mathbb{R} .

Corollary 6.2. *Convergence-in-norm implies Cauchy-ness.*

Proposition 6.3 (Characterizing completeness for normed spaces). *V is Banach \iff convergence-in-norm implies convergence for series.*

Remark. *This justifies calling convergence-in-norm as absolute convergence if V is Banach.*

¹⁰Note that we are not defining convergence-in-norm for sequences, just for series. This is an instance where the difference in “type” is crucial.

Corollary 6.4 (Weierstraß M -test). *Let X be a set and $(f_n) \in \mathcal{B}(X, V)$. Let $(M_n) \in \mathbb{R}$ such that $\|f_n\|_\infty \leq M_n$ and $\sum_{n=0}^\infty M_n$ is convergent. Then the following hold:*

- (i) $\sum_{n=0}^\infty f_n$ converges in norm (of $\mathcal{B}(X, V)$).
- (ii) The partial sums $\sum_{n=0}^k f_n$ converge uniformly to $\sum_{n=0}^\infty f_n$.

Proposition 6.5 (Root test). *Let $(v_i) \in V$ and define $L \in [0, \infty) \cup \{\infty\}$ as*

$$L := \limsup_{i \rightarrow \infty} \|v_i\|^{1/i}.$$

Then the following hold:

- (i) $L < 1 \implies \sum_{i=0}^\infty v_i$ converges in norm.
- (ii) $L > 1 \implies (\|\sum_{i=0}^n v_i\|)_n$ is unbounded.

Proposition 6.6 (Ratio test). *Let $(v_n) \in V \setminus \{0\}$ and define $L^+, L^- \in [0, \infty) \cup \{\infty\}$ as*

$$L^+ := \limsup_{i \rightarrow \infty} \frac{\|v_{i+1}\|}{\|v_i\|}, \text{ and}$$

$$L^- := \liminf_{i \rightarrow \infty} \frac{\|v_{i+1}\|}{\|v_i\|}.$$

Then the following hold:

- (i) $L^+ < 1 \implies \sum_{i=0}^\infty v_i$ converges in norm.
- (ii) There exists an N such that $\|v_{i+1}\|/\|v_i\| \geq 1$ for all $i \geq N \implies \sum_{i=0}^\infty v_i$ diverges.
- (iii) $L^- > 1 \implies (\|\sum_{i=0}^n v_i\|)_n$ is unbounded.

Remark. Root test is more powerful than the ratio test. See Proposition 1.4.

Proposition 6.7. *Sum and scalar multiplication preserve convergence-in-norm.*

7 Normed algebras

January 20, 2023

Definition 7.1 (Normed algebras). A normed algebra is a \mathbb{K} -algebra together which is also a normed vector space with the following additional property:

$$\|uv\| \leq \|u\| \|v\|.$$

Example 7.2.

- (i) $\mathcal{L}(W; W)$ forms an associative \mathbb{K} -algebra.
- (ii) $\mathcal{BL}(V; V)$ is a subalgebra of the normed algebra $\mathcal{L}(V; V)$.

Convention. In this section, A will stand for a normed algebra.

Proposition 7.3 (Limits of products of functions). Let $f, g: E \rightarrow A$ where E is a subset of a topological space X . Let $c \in X$ and $u, v \in V$ such that $f(x) \rightarrow u$ and $g(x) \rightarrow v$ as $x \rightarrow c$. Then, as $x \rightarrow c$,

$$f(x)g(x) \rightarrow uv.$$

Proposition 7.4 (Limits of multiplicative inverses of functions). Let $f: E \rightarrow A$ where E is a subset of a topological space X . Let $\|uv\| = \|u\| \|v\|$ hold in A . Let $f(x) \neq 0$ and $f(x)^{-1}$ exist for each $x \in E$. Let $c \in X$ and $u \in V \setminus \{0\}$ such that u^{-1} exists and $f(x) \rightarrow u$ as $x \rightarrow c$. Then, as $x \rightarrow c$,

$$f(x)^{-1} \rightarrow u^{-1}.$$

Definition 7.5 (Cauchy product of series). Let R be a ring and $\sum_{i=0}^{\infty} a_i$, $\sum_{i=0}^{\infty} b_i$ be formal series in R . Then their Cauchy product is defined to be the formal series $\sum_{i=0}^{\infty} c_i$ where c_i is given by

$$c_i := \sum_{j+k=i} a_j b_k.$$

Example 7.6 (Cauchy product doesn't preserve convergence!). The Cauchy product of the convergent series¹¹

$$\sum_{n=0}^{\infty} \frac{(-1)^n}{\sqrt{n+1}}$$

with itself diverges in \mathbb{R} .

Theorem 7.7 (Convergent-in-norm \times convergent = convergent). *Let $\sum_{i=0}^{\infty} a_i$ and $\sum_{i=0}^{\infty} b_i$ converge to A and B respectively in A . Further assume that $\sum_{i=0}^{\infty} a_i$ is convergent in norm. Then their Cauchy product converges to AB .*¹²

Proposition 7.8. *Cauchy product preserves convergence in norm.*

Corollary 7.9 (Cauchy-Hadamard on power series). *Consider the formal power series $\sum_{i=0}^{\infty} c_i(x-x_0)^i$ with $c_i, x_0 \in A$. Define $L := \limsup_{i \geq 0} \|c_i\|^{1/i} \in [0, \infty) \cup \{\infty\}$ and the radius of convergence of the series to be*

$$R := \begin{cases} 0, & L = \infty \\ 1/L, & 0 < L < \infty \\ \infty, & L = 0 \end{cases}.$$

Then for any $x \in A$, the following hold:

- (i) $\|x - x_0\| < R \implies \sum_{i=0}^{\infty} c_i(x - x_0)^i$ converges in norm.
- (ii) $\|x - x_0\| > R \implies \left(\left\| \sum_{i=0}^n c_i(x - x_0)^i \right\| \right)_n$ is unbounded.

Now, define¹³ $f: B_R(x_0) \rightarrow A$ such that for each $x \in B_R(x_0)$, we have

$$\sum_{i=0}^{\infty} c_i(x - x_0)^i = f(x).$$

Then for any $\varepsilon > 0$, we have the uniform convergence

$$\sum_{i=0}^n c_i(x - x_0)^i \xrightarrow{n} f \text{ in } D_{R-\varepsilon}(x_0).$$

Also, f is continuous.

¹¹Convergence follows from Corollary 7.11.

¹²No commutativity or associativity required!

¹³Obvious definitions of balls when $R = 0$ or ∞ .

Remark.

- (i) Behaviour on the boundary of is not determined: In \mathbb{C} , while $\sum_{n=0}^{\infty} z^n$ converges nowhere on the boundary, $\sum_{n=0}^{\infty} z^n/n^2$ converges on every point of the boundary. Also see Corollary 7.12.
- (ii) A power series that does not converge uniformly over it's ball of convergence: $\sum_{n=0}^{\infty} x^n$ in \mathbb{R} .

7.1 Miscellaneous*January 27, 2023*

Theorem 7.10. Let $(v_i) \in V$ such that $(\|\sum_{i=0}^n v_i\|)_n$ is bounded. Let $a_0 \geq a_1 \geq \dots \geq 0$ be reals with $\lim_{i \rightarrow \infty} a_i = 0$. Then the partial sums $\sum_{i=0}^n a_i v_i$ form a Cauchy sequence.

Corollary 7.11 (Alternating series). Let $a_0 \geq a_1 \geq \dots \geq 0$ be reals with $\lim_{i \rightarrow \infty} a_i = 0$. Then $\sum_{i=0}^{\infty} (-1)^i a_i$ is convergent.

Corollary 7.12. Let A be a normed algebra and $\sum_{i=0}^{\infty} a_i (x - x_0)^i$ be a power series with reals $a_0 \geq a_1 \geq \dots \geq 0$. Then the series converges for $x \in B_1(x_0)$. We also have convergence on $D_1(x_0) \setminus \{x_0 + 1\}$ if the norm is multiplicative, or if the nonzeros in A are invertible.

8 Banach algebras*March 11, 2023*

Definition 8.1 (Banach algebra). A Banach space that also forms a \mathbb{K} algebra, or equivalently, a complete normed linear space.

Example 8.2. If V is Banach, then $\mathcal{BL}(V; V)$ is a Banach algebra.

Convention. In this subsection, we'll take \mathcal{B} to be a Banach algebra with identity,¹⁴ and will set $\text{Inv } \mathcal{B}$ to be the set of all the invertible elements of \mathcal{B} .

¹⁴For we'll want our power series to start from 1.

Proposition 8.3 (Exponentiation). *Let $x \in \mathcal{B}$. Then the series*

$$\sum_{i=1}^{\infty} \frac{1}{n!} x^n$$

converges in \mathcal{B} .

Notation. *We denote this sum by $\exp(x)$, or e^x .*

Proposition 8.4. *If x, y commute in \mathcal{B} , then*

$$e^x e^y = e^{x+y} = e^y e^x.$$

Corollary 8.5. $\exp: (\mathcal{B}, +) \rightarrow (\text{Inv } \mathcal{B}, \cdot)$ *is a group homomorphism.*

Proposition 8.6. *If $\|x\| < 1$ in \mathcal{B} , then $1 - x$ is invertible with*

$$(1 - x)^{-1} = \sum_{i=0}^{\infty} x^i$$

where right-hand-side is convergent.

Proposition 8.7 (Inv \mathcal{B} is open in \mathcal{B}). *Whenever $x \in \text{Inv } \mathcal{B}$, we have that $B_{1/\|x^{-1}\|}(x) \subseteq \text{Inv } \mathcal{B}$.*

Theorem 8.8. $x \mapsto x^{-1}$ *is a self-homeomorphism on $\text{Inv } \mathcal{B}$.*

Chapter IV

Reals and complex numbers

1 Reals

February 11, 2023

Proposition 1.1 (Characterizing \limsup). *Let $(a_n) \in \mathbb{R}$ be bounded. Then $\limsup_{i \rightarrow \infty} a_i$ is the unique real L such that the following hold:*

- (i) *For every $\varepsilon > 0$, there exists an N such that $a_n < L + \varepsilon$ for all $n \geq N$.*
- (ii) *For every $\varepsilon > 0$ and every N , there exists an $n \geq N$ such that $a_n > L - \varepsilon$.*

Lemma 1.2. *Let $(a_n) \in (0, \infty)$ and $(b_n) \in [0, \infty)$ with $a_n \rightarrow a$ where $a \in (0, \infty) \cup \{\infty\}$. Then¹*

$$\limsup_{i \rightarrow \infty} a_i b_i = a \left(\limsup_{i \rightarrow \infty} b_i \right).$$

Proposition 1.3. *Uniform limit of Riemann-integrable functions $[a, b] \rightarrow \mathbb{R}$ is Riemann-integrable.*

Proposition 1.4 (Root test better than ratio test). *Let $(a_n) \in (0, \infty)$. Then*

$$\liminf_{i \rightarrow \infty} \frac{a_{i+1}}{a_i} \leq \liminf_{i \rightarrow \infty} a_i^{1/i} \leq \limsup_{i \rightarrow \infty} a_i^{1/i} \leq \limsup_{i \rightarrow \infty} \frac{a_{i+1}}{a_i}.$$

Remark.

¹We are (perversely) allowing multiplication by ∞ .

- (i) We can have $\limsup a_i^{1/i} < 1 < \limsup a_{i+1}/a_i$, or $\liminf a_{i+1}/a_i < 1 < \limsup a_i^{1/i}$ in which case, the ratio test gives no information, but root test does.
- (ii) Since $\liminf a_{i+1}/a_i \leq \limsup a_i^{1/i} \leq \limsup a_{i+1}/a_i$, the root test gives answer whenever ratio test does!